

Company Name Yum! Brands
Industry Agricultural Products (Supply Chain and Own Operations)
Overall Score (*) 13.0 out of 100

Theme Score	Out of	For Theme
1.9	10	A. Governance and Policies
2.0	25	B. Embedding Respect and Human Rights Due Diligence
1.3	15	C. Remedies and Grievance Mechanisms
2.0	20	D. Performance: Company Human Rights Practices
2.6	20	E. Performance: Responses to Serious Allegations
3.2	10	F. Transparency

(*) Please note that any small differences between the Overall Score and the added total of Measurement Theme scores are due to rounding the numbers at different stages of the score calculation process.

Please note also that the "Not met" labels in the Explanation boxes below do not necessarily mean that the company does not meet the requirements as they are described in the bullet point short text. Rather, it means that the analysts could not find information *in public sources* that met the requirements *as described in full* in the CHRB 2019 Methodology document. For example, a "Not met" under "General HRs Commitment", which is the first bullet point for indicator A.1.1, does not necessarily mean that the company does not have a general commitment to human rights. Rather, it means that the CHRB could not identify a public statement of policy in which the company commits to respecting human rights.

Detailed assessment

A. Governance and Policies (10% of Total)

A.1 Policy Commitments (5% of Total)

Indicator Code	Indicator name	Score (out of 2)	Explanation
A.1.1	Commitment to respect human rights	1	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Met: General HRs commitment: Ethics and Human Rights website: 'Yum! Maintains a work environment that respects and supports human rights for all of our employees around the world.'; Human Rights and Labor Practice Policy: 'Yum! Brands is committed to maintaining a work environment that respects and supports human rights for all of our employees around the world.' [Ethics and Human Rights on website, N/A: citizenship.yum.com & Human Rights and Labor Practices Policy, N/A: citizenship.yum.com] Score 2 <ul style="list-style-type: none"> Not met: UNGPs: The Company states in its Human Rights & Key Supply Chain Commitments Policy 'Palm Oil: Under the RSPO criteria, palm oil produced requires certification guidelines that support and align with a number of global human rights and social conventions including: •The UN Guiding Principles on Human Rights (2011), principles 11 through 24•ILO Conventions on Forced Labor (1930) and on the Rights of Indigenous Peoples (2007)' No evidence found of how the Company itself is committed to follow and respect these guidelines. [Human Rights & Key Supply Chain Commitments, N/A: citizenship.yum.com] Not met: OECD: No evidence [Yum! Global Code of Conduct, February 2019]
A.1.2	Commitment to respect the human rights of workers	0.5	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Not met: ILO Core: The company indicates that "We will not employ underage children or forced labourers and we prohibit physical punishment or abuse. We respect the right of all employees to associate or not to associate with any group,

Indicator Code	Indicator name	Score (out of 2)	Explanation
			<p>as permitted by applicable laws and regulations.[...] We promote, protect and help ensure the equal enjoyment of human rights by all persons, including minorities, women and those with disabilities” This policy covers non-discrimination, the prohibition of child labor and forced labor and freedom of association, however, collective bargaining has not been mentioned. [Human Rights and Labor Practices Policy: yumcsr.com]</p> <ul style="list-style-type: none"> • Not met: UNGC principles 3-6 • Not met: Explicitly list All four ILO for AG suppliers: The supplier code of conduct included in the "human rights and Labor practices policy" 'addresses working hours and conditions, non-discrimination, child labor and forced or indentured labor.' No evidence found, however, of commitments covering freedom of association and collective bargaining for suppliers. [Human Rights and Labor Practices Policy: yumcsr.com] <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Explicit commitment to All four ILO Core: See above • Met: Respect H&S of workers: The company is "committed to providing safe and healthy work environments and to being an environmentally responsible corporate citizen. It is our policy to comply with all applicable environmental, safety and health laws and regulations." [Yum! Global Code of Conduct, February 2019] • Met: H&S applies to AG suppliers: The supplier code of conduct included in the 'human rights and Labor practices policy' states that 'In compliance with all applicable laws, regulations, codes and industry standards, suppliers are expected to provide their employees with safe and healthy working conditions'. [Human Rights and Labor Practices Policy: yumcsr.com]
A.1.3.AG.a	Commitment to respect human rights particularly relevant to the industry - land and natural resources (AG)	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Respect land ownership and natural resources • Not met: Respecting the right to water • Not met: Expecting suppliers to respect these rights <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Voluntary Guidelines on Tenure Rights • Not met: IFC Performance Standards • Not met: FPIC for all • Not met: Zero tolerance for land grabs • Not met: Respecting the right to water • Not met: Expecting suppliers to respect these rights
A.1.3.AG.b	Commitment to respect human rights particularly relevant to the industry – people’s rights (AG)	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Women's rights: The company indicates that they promote, protect and help ensure the equal enjoyment of human rights by all persons, including minorities, women and those with disabilities. However this does not include a commitment to respect women or migrant workers’ rights. [Human Rights and Labor Practices Policy: yumcsr.com] • Not met: Children's rights: The Company states that they will not employ children. However, no evidence found of commitment to respect children's rights. [Human Rights and Labor Practices Policy, N/A: citizenship.yum.com] • Not met: Migrant worker's rights: The company indicates that they promote, protect and help ensure the equal enjoyment of human rights by all persons, including minorities, women and those with disabilities. However this does not include a commitment to respect women or migrant workers’ rights. [Human Rights and Labor Practices Policy, N/A: citizenship.yum.com] <p>Score 2</p> <ul style="list-style-type: none"> • Not met: CEDAW/Women's Empowerment Principles • Not met: Child Rights Convention/Business Principles • Not met: Convention on migrant workers • Not met: Expecting suppliers to respect these rights
A.1.4	Commitment to engage with stakeholders	1	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Met: Commits to stakeholder engagement: The company indicates that the stakeholders are central to guiding the important CSR decisions and actions they make. “That’s why our focus is to create positive change through our CSR actions that extends to all of our key stakeholders including employees, franchisees, suppliers, investors, customers and the local communities we serve.” The list of engagement areas include "diversity and inclusion" and "human rights". [Our CSR Methodology - Stakeholders, N/A: yumcsr.com & Stakeholder engagement, N/A: citizenship.yum.com]

Indicator Code	Indicator name	Score (out of 2)	Explanation
			<p>Score 2</p> <ul style="list-style-type: none"> • Not met: Commits to engage stakeholders in design [Materiality, N/A: citizenship.yum.com] • Not met: Regular stakeholder design engagement: 'In line with best citizenship reporting practices, we plan on repeating our materiality assessment every two to three years'. However, this indicator looks for specific engagement in designing or monitoring human rights approach. [Materiality, N/A: citizenship.yum.com]
A.1.5	Commitment to remedy	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Commits to remedy: Under 'Performance Management and Audits' the Company states how it reacts should an issue arise with one of their suppliers. However, no evidence found of the Company committing it to remedy the adverse impacts on individuals, workers and communities that it has caused or contributed to. No evidence [Human Rights and Labor Practices Policy, N/A: citizenship.yum.com] <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Not obstructing access to other remedies • Not met: Collaborating with other remedy initiatives • Not met: Work with AG suppliers to remedy impacts
A.1.6	Commitment to respect the rights of human rights defenders	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Zero tolerance attacks on HRs Defenders (HRDs): In its Code of Conduct the Company explains to its employees that should they have any concerns about any wrongdoings in the Company, they must contact the Network. It states 'The Network is an organization independent from Yum! which helps businesses maintain high ethical standards. Yum! has a strict policy against retaliation for good faith reports. No one may threaten you or take any action against you for raising questions or reporting concerns'. However, no specific commitment found to not intimidate or retaliate against human rights defenders (those opposing to the Company's operations in relation to human rights) [Yum! Global Code of Conduct, February 2019] <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Expects AG suppliers to reflect company HRD commitments: In its 'Human Rights and Labor Practices Policy', the Company states that no supplier should use 'any form of indentured servitude, nor should threats of violence, physical punishment, confinement, or other form of physical, sexual, psychological, or verbal harassment or abuse be used as a method of discipline or control.' In its Supplier Code of Conduct the Company states 'Coercion and Harassment: Suppliers must treat all workers with dignity and respect. Disrespectful, inappropriate behavior, unfair treatment or retaliation of any kind will never be tolerated. Actions such as corporal punishment, threats of violence and/or other forms of physical, sexual, psychological, verbal abuse and/or harassment will never be allowed or condoned'. However, as indicated above, this indicator is about commitment for suppliers on non-retaliation against human rights defenders. [Supplier Code of Conduct, 06/2019 & Human Rights and Labor Practices Policy, N/A: citizenship.yum.com]

A.2 Policy Commitments (5% of Total)

Indicator Code	Indicator name	Score (out of 2)	Explanation
A.2.1	Commitment from the top	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: CEO or Board approves policy: On its Corporate Governance website the Company states 'Oversight for environmental, social and governance (ESG) issues ultimately resides with the Yum! Brands Board of Directors, briefed through its Audit Committee on an annual basis.' The Company states in its feedback that (ESG) issues include Human Rights & Labor Practices. However, no evidence found of CEO/Board approving human rights-related policies by name. [Corporate Governance, N/A: citizenship.yum.com] • Not met: Board level responsibility for HRs: The Company indicates that annually the Board of Directors is formally updated on CSR commitments and progress. However, responsibility for CSR issues is allocated to the Chief Sustainability Officer and the VP of Corporate Social Responsibility, which are not board members. No additional details found in public sources. [CSR structure on website: yumcsr.com] <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Speeches/letters by Board members or CEO

Indicator Code	Indicator name	Score (out of 2)	Explanation
A.2.2	Board discussions	1	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Met: Board/Committee review of salient HRs: The Company indicates on its CSR structure on website that 'we formally update our Board of Directors annually on our CSR commitments and progress, and cascades throughout the entire business'. It also states on its Corporate Governance website 'Oversight for environmental, social and governance (ESG) issues ultimately resides with the Yum! Brands Board of Directors, briefed through its Audit Committee on an annual basis'. The Company provides evidence that key issues include 'Human Rights & Labor practices'. [CSR structure on website: yumcsr.com & Corporate Governance, N/A: citizenship.yum.com] Not met: Examples or trends re HR discussion Score 2 <ul style="list-style-type: none"> Not met: Both examples and process
A.2.3	Incentives and performance management	0	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Not met: Incentives for at least one board member Not met: At least one key AG HR risk, beyond employee H&S Score 2 <ul style="list-style-type: none"> Not met: Performance criteria made public

B. Embedding Respect and Human Rights Due Diligence (25% of Total)

B.1 Embedding Respect for Human Rights in Company Culture and Management Systems (10% of Total)

Indicator Code	Indicator name	Score (out of 2)	Explanation
B.1.1	Responsibility and resources for day-to-day human rights functions	0.5	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Not met: Commits to ILO core conventions Met: Senior responsibility for HR: The Company indicates on its website that 'CSR activities are led in partnership between our Global Sustainability and Public Affairs teams that are spearheaded by our Chief Sustainability Officer and Vice President of Corporate Social Responsibility. ESG issues include 'Human & labour rights' (key issues). [CSR structure on website: yumcsr.com & Corporate Governance, N/A: citizenship.yum.com] Score 2 <ul style="list-style-type: none"> Not met: Day-to-day responsibility: Although the Company indicates that it has "Global Sustainability and Public Affairs teams", no further details found. [CSR structure on website: yumcsr.com] Not met: Day-to-day responsibility for AG in supply chain
B.1.2	Incentives and performance management	0	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Not met: Senior manager incentives for human rights: On its website the Company states that 'at the operational level, the Chief Communications and Public Affairs Officer oversees the global reputation of Yum!' No evidence found for senior manager incentives for human rights. [Corporate Governance, N/A: citizenship.yum.com] Score 2 <ul style="list-style-type: none"> Not met: At least one key AG HR risk, beyond employee H&S Not met: Performance criteria made public
B.1.3	Integration with enterprise risk management	0	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Not met: HR risks is integrated as part of enterprise risk system: The Company states on the 'Materiality' website that in 2017 they enlisted a third party to conduct their first formal materiality assessment based on guidance provided by the Global Reporting Initiative (GRI) and that this materiality assessment process determined their Global Citizenship & Sustainability Report content through the various different steps. However, no evidence that human rights are integrated as part of the Company's risk management system. [Materiality, N/A: citizenship.yum.com] Score 2 <ul style="list-style-type: none"> Not met: Audit Ctte or independent risk assessment [Materiality, N/A: citizenship.yum.com]
B.1.4.a	Communication /dissemination of policy commitment(s)	0	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Not met: Commits to ILO core conventions [Human Rights & Key Supply Chain Commitments, N/A: citizenship.yum.com]

Indicator Code	Indicator name	Score (out of 2)	Explanation
	within Company's own operations		<ul style="list-style-type: none"> • Not met: Communicates its policy to all workers in own operations: While the Company states in its 'Human Rights & Key Supply Chain Commitments' that it is 'maintaining a work environment that respects, supports and promotes human rights for all of our employees, suppliers and local communities where we operate around the world' and that 'They're grounded in our Worldwide Code of Conduct and guided by international human rights principles' there is no evidence of human rights mentioned in the Worldwide Code of Conduct, nor how it is actively communicated. [Human Rights & Key Supply Chain Commitments, N/A: citizenship.yum.com & Yum! Global Code of Conduct, February 2019] Score 2 • Not met: Commits to all 4 ILO core conventions • Not met: Communication of policy commitments to stakeholder • Not met: How policy commitments are made accessible to audience
B.1.4.b	Communication /dissemination of policy commitment(s) to business relationships	0.5	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Commits to all 4 ILO core conventions for suppliers • Met: Requiring AG suppliers to communicate policy down the chain: The supplier code of conduct states that 'it is our expectation that every suppliers in our global system abides by our supplier code of conduct and agreeing to the supplier code of conduct, is a prerequisite to doing business with us'. Also, 'suppliers must take appropriate steps to ensure that the provisions of this code are communicated to its employees, subcontractors and agents'. [Supplier Code of Conduct, 06/2019] <p>Score 2</p> <ul style="list-style-type: none"> • Met: How HR commitments made binding/contractual: As indicated above, agreeing to the code is a prerequisite to do business with the Company. [CAO Case: Mongolia / Oyu Tolgoi-02/Southern Gobi, 17/05/2019: cao-ombudsman.org] • Met: Including on AG suppliers: The Company indicates in the supplier code of conduct that 'in the event that a supplier desires to utilize subcontractors for the manufacture, processing, or development of products for Yum, that supplier must obtain Yum's consent prior to utilizing such subcontractors and such subcontractors are subject to the provisions of the Code'. [Supplier Code of Conduct, 06/2019]
B.1.5	Training on Human Rights	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Scores at least 1 on A.1.2 • Not met: Trains all workers on HR policy commitments: The Company indicates that is the responsibility of the worker to become familiar with The Code of conduct and comply with all requirements. The Company requires certain employees to complete a web-based anti-bribery training course. In addition to the Code of Conduct, they have developed a comprehensive program continuing compliance training for above-restaurant employees. However, no evidence found that it trains all employees in human rights policies (the code of conduct does not contain human rights commitments). [Yum! Global Code of Conduct, February 2019 & Ethics and Compliance: yumcsr.com] <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Trains relevant AG managers including procurement [Yum! Global Code of Conduct, February 2019 & Ethics and Compliance: yumcsr.com] • Not met: Score of 2 on A.1.2 • Not met: Both requirements under score 1 met
B.1.6	Monitoring and corrective actions	0.5	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Scores at least 1 on A.1.2 • Not met: Monitoring implementation of HR policy commitments • Met: Monitoring AG suppliers: The Company indicates that 'to manage performance, audits of key suppliers are conducted annually, which includes a review of the supplier's internal processes and site visits as needed. Feedback is provided to suppliers to support and drive continuous improvement efforts'. [Governance documents on website: investors.yum.com & Human Rights and Labor Practices Policy, N/A: citizenship.yum.com] <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Score of 2 on A.1.2 • Not met: Describes corrective action process: Although the Company indicates that 'feedback is provided to suppliers to support and drive continuous improvement efforts', no details found in relation to corrective action process rolled out when non-compliances are found'. [Human Rights and Labor Practices Policy, N/A: citizenship.yum.com] • Not met: Example of corrective action • Not met: Discloses % of AG supply chain monitored

Indicator Code	Indicator name	Score (out of 2)	Explanation
B.1.7	Engaging business relationships	1.5	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Met: HR affects AG selection of suppliers: 'It is our expectation that every supplier in our global system abides by our Supplier Code of Conduct and agreeing to the Supplier Code of Conduct, is a prerequisite to doing business with us'. [Human Rights and Labor Practices Policy: yumcsr.com & Supplier Code of Conduct, 06/2019] • Met: HR affects on-going AG supplier relationships: 'In addition to any contractual rights of Yum! Brands or Restaurant Supply Chain Solutions, LLC (RSCS), the supplier's failure to observe the Supplier Code of Conduct may subject them to disciplinary action, which could include termination of the supplier relationship'. 'In addition, we reserve the right to conduct unannounced assessments, audits and inspections of supplier facilities. Violations lead to disciplinary action, including termination of the supplier relationship for repeated violations or noncompliance'. [Yum! Global Code of Conduct, February 2019 & Human Rights and Labor Practices Policy, N/A: citizenship.yum.com] <p>Score 2</p> <ul style="list-style-type: none"> • Met: Both requirement under score 1 met: See above • Not met: Working with AG suppliers to improve performance
B.1.8	Approach to engagement with potentially affected stakeholders	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Stakeholder process or systems: On its website 'Stakeholder Engagement' the Company states that it identified and prioritized its stakeholders, stakeholder representatives and that it worked with the representatives and a third party to 'develop an engagement process that included surveys and interviews with both internal and external stakeholders'. It also states 'These interviews gave us detailed insights into the opportunities each group sees for Yum! Brands, as well as risks we may face in key areas.' However, no evidence found on how it identified and engaged affected stakeholders in the last two years in relation to human rights. [Stakeholder engagement, N/A: citizenship.yum.com] • Not met: Frequency and triggers for engagement: 'Our ongoing engagement focuses on working with stakeholders on priorities, identified through our materiality assessment, that address our three pillars of food, planet and people. There are many voices and points of view relative to these issues and our goal is to always be as inclusive as possible. This engagement varies from one-time discussions to regular interaction while working on joint projects.' However, no evidence found, if human rights issues are included, how often engagement takes place and which are the triggers. [Stakeholder engagement, N/A: citizenship.yum.com] <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Workers in AG SC engaged • Not met: Communities in the AG SC engaged <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Analysis of stakeholder views and company's actions on them

B.2 Human Rights Due Diligence (15% of Total)

Indicator Code	Indicator name	Score (out of 2)	Explanation
B.2.1	Identifying: Processes and triggers for identifying human rights risks and impacts	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Identifying risks in own operations: The Company met with stakeholders and a third party in 2017 for their first formal materiality assessment to discuss certain ESG topics. According to the website 'Materiality' Human Rights and Labor Practices was one of the most important topics discussed. Through in-depth interviews and surveys with internal and external stakeholders information, including opportunities and risks, has been gathered. 'We reviewed publicly reported materials of stakeholders and peers regarding our industry and business, as well as discussed issues with employees, investors and NGOs'. However, it is not clear if this process entails identify which are the specific human rights issues that might be salient for the Company, as evidence seems to focus in identifying which are the big relevant topics generally, rather than focusing on which human rights issues might be relevant. [Materiality, N/A: citizenship.yum.com & 2017_GLOBAL CITIZENSHIP & SUSTAINABILITY REPORT, 2017: citizenship.yum.com] • Not met: Identifying risks in AG suppliers: No evidence found of how this process applies to its supply chain and other business relationships. [Materiality, N/A: citizenship.yum.com & 2017_GLOBAL CITIZENSHIP & SUSTAINABILITY REPORT, 2017: citizenship.yum.com]

Indicator Code	Indicator name	Score (out of 2)	Explanation
			<p>Score 2</p> <ul style="list-style-type: none"> • Not met: Ongoing global risk identification: The Company indicates that 'we plan on repeating our materiality assessment every two to three years'. However, it is not clear if this process entails identify which are the specific human rights issues that might be salient for the Company. [Materiality, N/A: citizenship.yum.com] • Not met: In consultation with stakeholders • Not met: In consultation with HR experts • Not met: Triggered by new circumstances • Not met: Explains use of HRIAs or ESIA (inc HR)
B.2.2	Assessing: Assessment of risks and impacts identified (salient risks and key industry risks)	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Salient risk assessment (and context): The topics that comprise the Company's Global Citizenship & Sustainability Strategy and reporting are based on stakeholder input. The Company has met with stakeholders and a third party in 2017 for their first formal materiality assessment to discuss certain ESG topics. According to the website 'Materiality' Human Rights and Labor Practices was one of the most important topics discussed. Through in-depth interviews and surveys with internal and external stakeholders information, including opportunities and risks, has been gathered. However, there is no evidence of the exact process(es) for assessing the Company's human rights risks including how factors such as geographical, social or economic are taken into account. [Materiality, N/A: citizenship.yum.com & 2017_GLOBAL CITIZENSHIP & SUSTAINABILITY REPORT, 2017: citizenship.yum.com] • Not met: Public disclosure of salient risks <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Both requirements under score 1 met
B.2.3	Integrating and Acting: Integrating assessment findings internally and taking appropriate action	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Action Plans to mitigate risks: Although the Company states that it has met in 2017 with stakeholders and a third party to discuss, among other issues, Human Rights, no evidence found of an action plan to prevent, mitigate or remediate its salient human rights issues. [Materiality, N/A: citizenship.yum.com & 2017_GLOBAL CITIZENSHIP & SUSTAINABILITY REPORT, 2017: citizenship.yum.com] • Not met: Including in AG supply chain • Not met: Example of Actions decided <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Both requirements under score 1 met
B.2.4	Tracking: Monitoring and evaluating the effectiveness of actions to respond to human rights risks and impacts	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: System to check if Actions are effective: The Company describes how it monitors and audits its suppliers that they comply with the Supplier Code of Conduct and the effects non-compliance has on the business relationship. However, no evidence for a system(s) for tracking the actions taken in response to human rights risks and impacts assessed and for evaluating whether the actions have been effective or have missed key issues or not produced the desired results in its operations. [Human Rights and Labor Practices Policy, N/A: citizenship.yum.com] • Not met: Lessons learnt from checking effectiveness <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Both requirement under score 1 met
B.2.5	Communicating : Accounting for how human rights impacts are addressed	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Comms plan re identifying risks: See indicator B.2.1 [Corporate Governance, N/A: citizenship.yum.com] • Not met: Comms plan re assessing risks: See indicator B.2.2 • Not met: Comms plan re action plans for risks: See indicator B.2.3 • Not met: Comms plan re reviewing action plans: See indicator B.2.4 • Not met: Including AG suppliers <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Responding to affected stakeholders concerns • Not met: Ensuring affected stakeholders can access communications

C. Remedies and Grievance Mechanisms (15% of Total)

Indicator Code	Indicator name	Score (out of 2)	Explanation
C.1	Grievance channel(s)/mechanism(s) to receive complaints or concerns from workers	1	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Met: Channel accessible to all workers: The Code of conducts applies to all employees and its subsidiaries. It indicates that 'if you think that something is wrong, are unsure what is proper conduct in a particular situation or believe that another employee may have violated Yum! Policies or applicable law [...] you have the duty to raise questions and report concerns immediately'. The Company's integrity line, 'The Network', is an organization independent from Yum!. The Company provides different phone numbers and persons to report. [Yum! Global Code of Conduct, February 2019 & Governance documents on website: investors.yum.com] <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Number grievances filed, addressed or resolved • Not met: Channel is available in all appropriate languages: The Company has in the Code of Conduct a list with all the numbers of the Network helpline for different countries in which it operates (16 countries). However, it is not clear whether these numbers provide response in all the appropriate languages. [Yum! Global Code of Conduct, February 2019] • Not met: Expect AG supplier to have equivalent grievance systems • Not met: Opens own system to AG supplier workers
C.2	Grievance channel(s)/mechanism(s) to receive complaints or concerns from external individuals and communities	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Grievance mechanism for community: The Company explains in its Code of Conduct the procedures to follow should irregularities of any kind or noncompliance with laws occur. However, this only seems to apply to company employees. No evidence found for channels or mechanisms that are accessible to all external individuals and communities. No evidence in Supplier Code of Conduct either. [Yum! Global Code of Conduct, February 2019: investors.yum.com & Supplier Code of Conduct, 06/2019] <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Describes accessibility and local languages: See above. In addition, 'The Network is available 24/7 in more than 120 countries and territories.' No evidence found that it is available in local languages. [Yum! Global Code of Conduct, February 2019: investors.yum.com] • Not met: Expects AG supplier to have community grievance systems • Not met: AG supplier communities use global system
C.3	Users are involved in the design and performance of the channel(s)/mechanism(s)	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Engages users to create or assess system • Not met: Description of how they do this <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Engages with users on system performance • Not met: Provides user engagement example on performance • Not met: AG suppliers consult users in creation or assessment
C.4	Procedures related to the mechanism(s)/channel(s) are publicly available and explained	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Response timescales: While the Company explains how the hotline/external complaints Network works, no evidence found for timescales for addressing the complaints or concerns. [Yum! Global Code of Conduct, February 2019 & Supplier Code of Conduct, 06/2019] • Not met: How complainants will be informed: While the Company explains how the hotline/external complaints Network works, no evidence found for how complainant will be informed further. [Yum! Global Code of Conduct, February 2019] <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Escalation to senior/independent level
C.5	Commitment to non-retaliation over complaints or concerns made	0.5	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Public statement prohibiting retaliation: The Code states that 'Yum! has a strict policy against retaliation for good faith reports. No one may threaten you or take any action against you for raising questions or reporting concerns.' However, no evidence found in relation to this channel covering external stakeholders. [Yum! Global Code of Conduct, February 2019: investors.yum.com] • Met: Practical measures to prevent retaliation: In relation to reporting concerns through grievance mechanisms, the Code states that 'if you wish, you may call anonymously on the Yum! Integrity helpline, called The Network, at the

Indicator Code	Indicator name	Score (out of 2)	Explanation
			appropriate number listed below. The Network is an organization independent from Yum!. [Yum! Global Code of Conduct, February 2019: investors.yum.com] Score 2 <ul style="list-style-type: none"> • Not met: Has not retaliated in practice • Not met: Expects AG suppliers to prohibit retaliation
C.6	Company involvement with State-based judicial and non-judicial grievance mechanisms	0	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> • Not met: Won't impede state based mechanisms: While the Company states that it has an anonymous network and complaints hotline for any kind of complaints in place, that no retaliation against complainant must take place, that suppliers are expected to strictly follow these codes etc, no evidence found that it won't impede access to state-based judicial or non-judicial mechanisms or other available mechanisms for persons who make allegations of adverse human rights impacts. [Human Rights and Labor Practices Policy, N/A: citizenship.yum.com] • Not met: Complainants not asked to waive rights Score 2 <ul style="list-style-type: none"> • Not met: Will work with state based or non judicial mechanisms • Not met: Example of issue resolved (if applicable)
C.7	Remedying adverse impacts and incorporating lessons learned	0	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> • Not met: Describes how remedy has been provided: While the Company states that it respects and complies with human rights and expects its suppliers to do the same, that regular audits take place etc. no evidence could be found for a description of the approach the Company took to provide a timely remedy for victims of adverse human rights impacts caused by the Company, or if none occurred, the approach it would take. [Human Rights and Labor Practices Policy, N/A: citizenship.yum.com & Yum! Global Code of Conduct, February 2019] • Not met: Says how it would remedy key sector risks Score 2 <ul style="list-style-type: none"> • Not met: Changes introduced to stop repetition • Not met: Approach to learning from incident to prevent future impacts • Not met: Evaluation of the channel/mechanism

D. Performance: Company Human Rights Practices (20% of Total)

Indicator Code	Indicator name	Score (out of 2)	Explanation
D.1.1.a	Living wage (in own agricultural operations)	0	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> • Not met: Pays living wage or sets target date: While the Company states that 'Suppliers must comply with all applicable wage and hour laws and regulations, including those relating to minimum wages, overtime, maximum hours, commissions, bonuses, piece rates and other elements of compensation and provide legally mandated benefits.' there is no evidence of a source where the Company indicates its target timeframe for paying all workers a living wage. Evidence provided refers to suppliers. No evidence could be found in any of the other publicly available documents for how payment is regulated in the Company's own operations. This indicator looks for evidence that the Company has a target for paying all workers a living wage, that should cover at least basic needs for employee and his/her family or dependents and provide some discretionary income. [Supplier Code of Conduct, 06/2019] • Not met: Describes how living wage determined Score 2 <ul style="list-style-type: none"> • Not met: Paying living wage • Not met: Definition of living wage reviewed with unions
D.1.1.b	Living wage (in the supply chain)	1	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> • Met: Living wage in supplier code or contracts: 'Compensation: Suppliers must comply with all applicable wage and hour laws and regulations, including those relating to minimum wages, overtime, maximum hours, commissions, bonuses, piece rates and other elements of compensation and provide legally mandated benefits.' However, no evidence found in relation to living wages that includes basic needs for employee and family plus some discretionary income. [Supplier Code of Conduct, 06/2019] • Not met: Improving living wage practices of suppliers Score 2 <ul style="list-style-type: none"> • Not met: Both requirements under score 1 met • Not met: Provides analysis of trends demonstrating progress

Indicator Code	Indicator name	Score (out of 2)	Explanation
D.1.2	Aligning purchasing decisions with human rights	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Avoids business model pressure on HRs (purchasing practices): While the Company states that it is 'committed to conducting its business in an ethical, legal and socially responsible manner' and that its 'Supplier Code of Conduct [...] is to encourage legal, social and ethical business practices by Yum's suppliers and vendors and their respective suppliers, vendors, subcontractors, agents and employees' and that all suppliers 'must comply and ensure compliance with [...] Human rights' no evidence could be found of how noncompliance with HR or specific positive incentives affect the Company's purchasing decisions. [Supplier Code of Conduct, 06/2019] • Not met: Positive incentives to respect human rights (purchasing practices): See above [Supplier Code of Conduct, 06/2019] <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Both requirements under score 1 met
D.1.3	Mapping and disclosing the supply chain	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Identifies suppliers back to manufacturing sites (factories or fields) <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Discloses significant parts of SP and why
D.1.4.a	Prohibition on child labour: Age verification and corrective actions (in own agricultural operations)	0.5	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Met: Does not use child labour: The company states that it 'will not employ underage children'. [Human Rights and Labor Practices Policy: yumcsr.com] • Not met: Age verification of job applicants and workers: No evidence [Human Rights and Labor Practices Policy, N/A: citizenship.yum.com & Yum! Global Code of Conduct, February 2019] <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Remediation if children identified: No evidence [Human Rights and Labor Practices Policy, N/A: citizenship.yum.com & Yum! Global Code of Conduct, February 2019]
D.1.4.b	Prohibition on child labour: Age verification and corrective actions (in the supply chain)	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Child Labour rules in codes or contracts: The company indicates in its Human Rights and Labor Practices Policy that 'suppliers should not use workers under the legal age for employment for the type of work being performed in any facility in which the supplier is doing work for Yum! Brands. In no event should suppliers use employees younger than 14 years of age'. In its Supplier Code of Conduct the Company states 'Suppliers must not utilize labor under the legal minimum age of employment and must follow all child labor laws applicable to the jurisdiction in which they operate. In addition, persons younger than eighteen (18) are not permitted to perform hazardous work under any circumstances.' However no specific commitment is required to verify the age of job applicants and workers and providing remediation programmes. [Human Rights and Labor Practices Policy: yumcsr.com & Supplier Code of Conduct, 06/2019] • Not met: How working with suppliers on child labour <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Both requirements under score 1 met • Not met: Analysis of trends in progress made
D.1.5.a	Prohibition on forced labour: Debt bondage and other unacceptable financial costs (in own agricultural operations)	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Pays workers in full and on time: No evidence found. [Supplier Code of Conduct, 06/2019 & Yum! Global Code of Conduct, February 2019] • Not met: Payslips show any legitimate deductions <p>Score 2</p> <ul style="list-style-type: none"> • Not met: How these practices are implemented and monitored for agencies, labour brokers or recruiters

Indicator Code	Indicator name	Score (out of 2)	Explanation
D.1.5.b	Prohibition on forced labour: Debt bondage and other unacceptable financial costs (in the supply chain)	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Debt and fees rules in codes or contracts: The Company states in its Human Rights and Labor Practices Policy 'In accordance with applicable law, no supplier should perform work or produce goods for Yum! Brands using labor under any form of indentured servitude'. It adds in its Supplier Code of Conduct that 'Suppliers [...] must not use any forced or involuntary labor, whether prison, bonded, indentured or otherwise.' However, it is not explicitly mentioned or indicated that this includes refraining from imposing any financial burdens on workers by withholding wages or expenses including recruitment fees and related recruitment costs. [Human Rights and Labor Practices Policy, N/A: citizenship.yum.com & Supplier Code of Conduct, 06/2019] • Not met: How working with suppliers on debt & fees <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Both requirements under score 1 met • Not met: Analysis of trends in progress made
D.1.5.c	Prohibition on forced labour: Restrictions on workers (in own agricultural operations)	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Does not retain documents or restrict movement: Although the Company states in its Global Code of Conduct that it does not use forced labor (and in its Global Supplier Code of Conduct it expects suppliers to do the same), no statement was found that it does not retain the workers' personal documents or restrict workers' freedom of movement outside of work hours or require workers to stay at and pay for accommodation by the Company. [Supplier Code of Conduct, 06/2019 & Human Rights and Labor Practices Policy, N/A: citizenship.yum.com] <p>Score 2</p> <ul style="list-style-type: none"> • Not met: How these practices are monitored for agencies, labour brokers or recruiters
D.1.5.d	Prohibition on forced labour: Restrictions on workers (in the supply chain)	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Free movement rules in codes or contracts: The Company states in its Global Supplier Code of Conduct 'Suppliers [...] must not use any forced or involuntary labor, whether prison, bonded, indentured or otherwise. [...] servitude or forced labor does not exist in any part of their business or supply chain.' It also states in Human Rights and Labor Practices Policy that 'in accordance with applicable law, no supplier should perform work or produce goods for Yum! Brands using labor under any form of indentured servitude, nor should threats of violence, physical punishment, confinement, or other form of physical, sexual, psychological, or verbal harassment or abuse be used as a method of discipline or control.' However, no evidence found for requirements on workers' freedom of movement, including refraining from restricting workers' movement through the retention of passports or other personal identification or travel documents, in the supply chain. [Supplier Code of Conduct, 06/2019 & Human Rights and Labor Practices Policy, N/A: citizenship.yum.com] • Not met: How working with suppliers on free movement <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Both requirements under score 1 met • Not met: Provides analysis of trends demonstrating progress
D.1.6.a	Freedom of association and collective bargaining (in own agricultural operation)	0	<p>The individual elements of the assessment are met or not as follows:</p> <p>Score 1</p> <ul style="list-style-type: none"> • Not met: Commits not to interfere with union rights / Steps to avoid intimidation or retaliation: The Company indicates 'We respect the right of all employees to associate or not to associate with any group, as permitted by applicable laws and regulations'. However no commitment has been found on not to interfere with the right of workers to joint trade unions or to collective bargaining, nor to support alternative mechanisms or equivalent worker bodies in those locations where the exercise of these rights is restricted by local law, as the Company is committed to support these rights 'as permitted by applicable laws and regulations'. [Human Rights and Labor Practices Policy: yumcsr.com] • Not met: Discloses % covered by collective bargaining agreements <p>Score 2</p> <ul style="list-style-type: none"> • Not met: Both requirements under score 1 met

Indicator Code	Indicator name	Score (out of 2)	Explanation
D.1.6.b	Freedom of association and collective bargaining (in the supply chain)	0	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Not met: FoA & CB rules in codes or contracts: The Supplier Code of Conduct states 'Association: Suppliers must respect the rights of workers to associate, organize and bargain collectively in a lawful and peaceful manner without penalty or interference.' However, there no evidence of prohibition of intimidation, harassment, retaliation and violence against union members and union representatives, and requirement to support alternative mechanisms or equivalent worker bodies in locations where these rights are restricted under local law. [Supplier Code of Conduct, 06/2019] Not met: How working with suppliers on FoA and CB Score 2 <ul style="list-style-type: none"> Not met: Both requirements under score 1 met Not met: Provides analysis of trends demonstrating progress
D.1.7.a	Health and safety: Fatalities, lost days, injury rates (in own agricultural operations)	0	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Not met: Injury Rate disclosures: Although Code of Conduct includes a 'Safety' section stating that the Company 'is committed to providing safe and healthy work environments and [...] it is our policy to comply with all applicable environmental, safety and health laws and regulations.' no evidence found for quantitative information on health and safety for its workers related to injury rates or lost days (or near miss frequency rate) and fatalities. [Yum! Global Code of Conduct, February 2019] Not met: Lost days or near miss disclosures: See above Not met: Fatalities disclosures: See above Score 2 <ul style="list-style-type: none"> Not met: Set targets for H&S performance Not met: Met targets or explains why not
D.1.7.b	Health and safety: Fatalities, lost days, injury rates (in the supply chain)	0.5	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Met: Sets out clear Health and Safety requirements: The Supplier Code of Conduct includes a 'Health and Safety' section where it states that 'suppliers must provide workers with a safe and healthy workplace (and housing, if applicable) in compliance with all applicable laws and regulations' which is then fleshed out with information about ventilation, lighting, water access, licenses and permits and machine safety, etc. [Supplier Code of Conduct, 06/2019] Not met: Injury Rate disclosures Not met: Lost days or near miss disclosures Not met: Fatalities disclosure Score 2 <ul style="list-style-type: none"> Not met: How working with suppliers on H&S Not met: Provides analysis of trends demonstrating progress
D.1.8.a	Land rights: Land acquisition (in own agricultural operations)	0	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Not met: Approach to identification of land tenure rights holders Not met: Approach to doing so if no recent land deals Score 2 <ul style="list-style-type: none"> Not met: How valuation and compensation works Not met: Follows IFC5 in any state land deals Not met: Describes approach if no recent land deals
D.1.8.b	Land rights: Land acquisition (in the supply chain)	0	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Not met: Rules on land & owners in codes or contracts Not met: How working with suppliers on land issues Score 2 <ul style="list-style-type: none"> Not met: Both requirements under score 1 met Not met: Provides analysis of trends demonstrating progress
D.1.9.a	Water and sanitation (in own agricultural operations)	0	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Not met: Action to prevent water and sanitation risks: Although the Company states in its Supplier Code of Conduct in the 'Health and Safety' section that 'suppliers must also ensure reasonable access to potable water, sanitary facilities, proper ventilation' no evidence for the Company's own operations found. No evidence found in any of the publicly accessible documents. [Supplier Code of Conduct, 06/2019] Score 2 <ul style="list-style-type: none"> Not met: Water targets considering local factors Not met: Reports progress and shows trends in progress made

Indicator Code	Indicator name	Score (out of 2)	Explanation
D.1.9.b	Water and sanitation (in the supply chain)	1	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Met: Rules on water stewardship in codes or contracts: Although the Company states in its Supplier Code of Conduct in the 'Health and Safety' section that 'suppliers must also ensure reasonable access to potable water, sanitary facilities, proper ventilation' no evidence found for a statement of refraining from negatively affecting access to safe water. [Supplier Code of Conduct, 06/2019] Not met: How working with suppliers on water stewardship issues Score 2 <ul style="list-style-type: none"> Not met: Both requirements under score 1 met Not met: Provides analysis of trends demonstrating progress
D.1.10.a	Women's rights (in own agricultural operations)	1	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Met: Process to stop harassment and violence against women: The Supplier Code of Conduct states 'Suppliers must treat all workers with dignity and respect. [...] Actions such as corporal punishment, threats of violence and/or other forms of physical, sexual, psychological, verbal abuse and/or harassment will never be allowed or condoned.' The Global Code of Conduct states 'to provide equal opportunity for all in recruiting, hiring, developing, promoting and compensating without regard to [...] gender, [...] gender identity'. 'Sexual ... has no place in the Yum! Work environment [...] sexual harassment includes unwelcome sexual advances or other verbal or physical conduct of a sexual nature. As noted above, if you think that something is wrong you have a duty to raise questions and report concerns immediately. If you wish, you may call anonymously on Yum! Integrity helpline, called The Network, at the numbers listed on page 4'. [Supplier Code of Conduct, 06/2019 & Yum! Global Code of Conduct, February 2019: investors.yum.com] Not met: Working conditions take account of gender Not met: Equality of opportunity at all levels of employment: The Company indicates on its website that it has "established a target to advance more women into leadership and achieve gender parity in senior leadership globally by 2030". However, no evidence found in relation to how the company provides equal opportunity for women in the workforce that are monitored and maintained throughout all levels of employment. [News - Expansion of commitment to diversity and inclusion: yum.com] Score 2 <ul style="list-style-type: none"> Not met: Meet all requirements under score 1
D.1.10.b	Women's rights (in the supply chain)	0	The individual elements of the assessment are met or not as follows: Score 1 <ul style="list-style-type: none"> Not met: Women's rights in codes or contracts: While the Company states that 'Suppliers must treat all workers with dignity and respect. Disrespectful, inappropriate behavior, unfair treatment or retaliation of any kind will never be tolerated. Actions such as corporal punishment, threats of violence and/or other forms of physical, sexual, psychological, verbal abuse and/or harassment will never be allowed or condoned.' there is no evidence of specific mention of protection of women or women's rights requirements. [Supplier Code of Conduct, 06/2019] Not met: How working with suppliers on women's rights Score 2 <ul style="list-style-type: none"> Not met: Both requirements under score 1 met Not met: Provides analysis of trends demonstrating progress

E. Performance: Responses to Serious Allegations (20% of Total)

Indicator Code	Indicator name	Score (out of 2)	Explanation
E(1).0	Serious allegation No 1		No allegations meeting the CHRB severity threshold were found, and so the score of 10.40 out of 80 points scored in themes A-D & F has been applied to produce a score of 2.60 out of 20 points for theme E.

F. Transparency (10% of Total)

Indicator Code	Indicator name	Score	Explanation
F.1	Company willingness to publish information	1.18 out of 4	Out of a total of 51 indicators assessed under sections A-D of the benchmark, Yum! Brands made data public that met one or more elements of the methodology in 15 cases, leading to a disclosure score of 1.18 out of 4 points.
F.2	Recognised Reporting Initiatives	2 out of 2	The individual elements of the assessment are met or not as follows: Score 2 <ul style="list-style-type: none"> Met: Company reports on GRI: The company reported on GRI through specific index in 2017 [GRI Index 2017, N/A: citizenship.yum.com]

Indicator Code	Indicator name	Score	Explanation
			<ul style="list-style-type: none"> • Not met: Company reports on SASB • Not met: Company reports on UNGPRF
F.3	Key, High Quality Disclosures	0 out of 4	<p>Yum! Brands met 0 of the 10 thresholds listed below and therefore gets 0 out of 4 points for the high quality disclosure indicator.</p> <p>Specificity and use of concrete examples</p> <ul style="list-style-type: none"> • Not met: Score 2 for A.2.2 : Board discussions • Not met: Score 2 for B.1.6 : Monitoring and corrective actions • Not met: Score 2 for C.1 : Grievance channel(s)/mechanism(s) to receive complaints or concerns from workers • Not met: Score 2 for C.3 : Users are involved in the design and performance of the channel(s)/mechanism(s) <p>Discussing challenges openly</p> <ul style="list-style-type: none"> • Not met: Score 2 for B.2.4 : Tracking: Monitoring and evaluating the effectiveness of actions to respond to human rights risks and impacts • Not met: Score 2 for C.7 : Remedying adverse impacts and incorporating lessons learned <p>Demonstrating a forward focus</p> <ul style="list-style-type: none"> • Not met: Score 2 for A.2.3 : Incentives and performance management • Not met: Score 2 for B.1.2 : Incentives and performance management • Not met: Score 1 for D.1.1.a: Living wage (in own agricultural operations) • Not met: Score 2 for D.1.7.a : Health and safety: Fatalities, lost days, injury rates (in own agricultural operations)

Disclaimer

A score of zero for a particular indicator does not mean that bad practices are present. Rather it means that we have been unable to identify the required information in public documentation.

See the 2019 Key Findings report and technical annex for more details of the research process.

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As CHRB Ltd, we want to emphasise that the results will always be a proxy for good human rights management, and not an absolute measure of performance. This is because there are no fundamental units of measurement for human rights. Human rights assessments are therefore necessarily more subjective than objective. The Benchmark also captures only a snap shot in time. We therefore want to encourage companies, investors, civil society and governments to look at the broad performance bands that companies are ranked within rather than their precise score because, as with all measurements, there is a reasonably wide margin of error possible in interpretation. We also want to encourage a greater analytical focus on how scores improve over time rather than upon how a company compares to other companies in the same industry today. The spirit of the exercise is to promote

continual improvement via an open assessment process and a common understanding of the importance of the UN Guiding Principles on Business and Human Rights.