

Corporate Human Rights Benchmark 2022 Company Scoresheet



Company Name Kweichow Moutai

Industry Agricultural Products (Supply Chain only)

Overall Score 0.0 out of 100

Theme Score	Out of	For Theme
0.0	10	A. Governance and Policies
0.0	25	B. Embedding Respect and Human Rights Due Diligence
0.0	20	C. Remedies and Grievance Mechanisms
0.0	25	D. Performance: Company Human Rights Practices
0.0	20	E. Performance: Responses to Serious Allegations

Please note that any small differences between the Overall Score and the added total of Measurement Theme scores are due to rounding the numbers at different stages of the score calculation process.

Please note also that the "Not met" labels in the Explanation boxes below do not necessarily mean that the company does not meet the requirements as they are described in the bullet point short text. Rather, it means that the analysts could not find information *in public sources* that met the requirements *as described in full* in the CHRB 2022 Methodology document for the sector concerned. For example, a "Not met" under "General HRs Commitment", which is the first bullet point for indicator A.1.1, does not necessarily mean that the company does not have a general commitment to human rights. Rather, it means that the CHRB could not identify a public statement of policy in which the company commits to respecting human rights.

Detailed assessment

A. Governance and Policies (10% of Total)

A.1 Policy Commitments (5% of Total)

Indicator Code	Indicator name	Score (out of 2)	Explanation
A.1.1	Commitment to respect human rights	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: General HRs commitment Not Met: Universal Declaration of Human rights (UDHR) Not Met: International Bill of Human Rights Score 2 Not Met: Commitment to the UNGPs Not Met: Commitment to the OECD Guidelines for Multinational Enterprises
A.1.2.a	Commitment to respect the human rights of workers: ILO Declaration on Fundamental Principles and Rights at Work	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Company has a commitment to the ILO Core Not Met: Company has a explicit commitment to All four ILO Core Score 2 Not Met: Company expect suppliers to commit to ILO Core Not Met: Company explicitly list All four ILO for suppliers
A.1.2.b	Commitment to respect the human rights of workers: Health and safety and working hours	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Commitment to respect H&S of workers Not Met: Respect ILO labour standards on working hours or Commits to 48 hours regular work week Score 2 Not Met: Expect suppliers to commit to H&S of their workers Not Met: Expect suppliers to commit to ILO labour standard or to 48 hours regular work week

Indicator Code	Indicator name	Score (out of 2)	Explanation
A.1.3.a.AG	Commitment to respect human rights particularly relevant to the industry – land, natural resources and indigenous peoples' rights (AG)	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Respect land ownership and natural resources as set out in VGGT Not Met: Respect land ownership and natural resources as set out in The IFC Performance Standards Not Met: Respecting indigenous peoples' rights or ILO Convention No.169 or UN Declaration Not Met: Expecting suppliers to make these commitments Score 2 Not Met: Respecting the right to water Not Met: Company's policy commits to obtain FPIC Not Met: Expecting suppliers to make these commitments
A.1.3.b.AG	Commitment to respect human rights particularly relevant to the industry – vulnerable groups (AG)	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Women's rights Not Met: Children's rights Not Met: Migrant worker's rights Not Met: Expects suppliers to respect at least one of these rights Score 2 Not Met: CEDAW/Women's Empowerment Principles Not Met: Child Rights Convention/Business Principles Not Met: Convention on migrant workers Not Met: Expecting suppliers to respect these rights
A.1.4	Commitment to remedy	0	The individual elements of the assessment are met or not as follows: Score 1 • Not Met: The Company commits to remedy • Not Met: Company expect suppliers to make this commitment Score 2 • Not Met: Collaborating with other remedy initiatives • Not Met: Work with suppliers to remedy impact
A.1.5	Commitment to respect the rights of human rights defenders	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Zero tolerance attacks on HRs Defenders (HRDs) Not Met: Company expect suppliers to make this commitment Score 2 Not Met: Work with HRD to create safe and enabling environment

A.2 Policy Commitments (5% of Total)

Indicator Code	Indicator name	Score (out of 2)	Explanation
A.2.1	Commitment from the top		The individual elements of the assessment are met or not as follows: Score 1
	li oiii tiic top		Not Met: Board level responsibility for HRs
		0	Not Met: Describe HR expertise of Board member
			Score 2
			Not Met: Speeches/letters by Board members or CEO
A.2.2	Board		The individual elements of the assessment are met or not as follows:
	responsibility		Score 1
	,		Not Met: Board/Committee review HRs strategy
		0	Not Met: Examples/trends re HR discussion in the last reporting period
			Score 2
			Not Met: Meets both requirements under score 1
			Not Met: How affected stakeholders/HR experts informed discussions
A.2.3	Incentives and		The individual elements of the assessment are met or not as follows:
	performance		Score 1
	management		Not Met: Incentives for at least one board member
		0	Not Met: At least one key HR risk, beyond employee H&S
			Score 2
			Not Met: Performance criteria made public
			Not Met: Review of other board performance criteria
A.2.4	Business		The individual elements of the assessment are met or not as follows:
	model strategy		Score 1
	and risks		Not Met: Board process to review bussiness model and strategy
		0	Not Met: Describe frequency and triggers for reviewing
			Score 2
			Not Met: Meets both requirements under score 1
			Not Met: Example of actions decided

B. Embedding Respect and Human Rights Due Diligence (25% of Total) B.1 Embedding Respect for Human Rights in Company Culture and Management Systems (10% of Total)

Indicator Code	Indicator name	Score (out of 2)	Explanation
B.1.1	Responsibility and resources for day-to-day human rights functions	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Score of 1 on A.1.2.a Not Met: Senior responsibility for HR implementation and decision making Score 2 Not Met: How it assigns Day-to-day responsibility Not Met: Day-to-day resources and expertise allocation in own ops
B.1.2	Incentives and performance management	0	Not Met: Resources and expertise allocation in the supply chain The individual elements of the assessment are met or not as follows: Score 1 Not Met: Senior manager incentives for human rights Not Met: At least one key HR risk, beyond employee H&S Score 2 Not Met: Performance criteria made public Not Met: Review of other senior management performance
B.1.3	Integration with enterprise risk management	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: HR risks is integrated as part of enterprise risk system Not Met: Provides an example Score 2 Not Met: Audit Ctte or independent risk assessment
B.1.4.a	Communication /dissemination of policy commitment(s) to workers and external stakeholders	0	The individual elements of the assessment are met or not as follows: Score 1 • Not Met: Score of 1 on A.1.2.a • Not Met: Communicates its policy to all workers in own operations Score 2 • Not Met: Communication of policy commitments to stakeholder • Not Met: How policy commitments are made accessible to audience
B.1.4.b	Communication /dissemination of policy commitment(s) to business relationships	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Meets ILO requirement for suppliers on A.1.2.a Not Met: Steps to communicate policy commitments to supply chain Not Met: Requires suppliers to communicate policy requirements Score 2 Not Met: How HR commitments made binding/contractual Not Met: Company requires suppliers to cascade down to their suppliers
B.1.5	Training on Human Rights	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Scores at least 1 on A.1.2.a Not Met: How workers are trained on HR policy commitments Not Met: Trains relevant managers including procurement Score 2 Not Met: Score of 2 on A.1.2.a Not Met: Meets both requirements under score 1 Not Met: Trains suppliers to meet company's HR commitment Not Met: Disclose % trained
B.1.6	Monitoring and corrective actions	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Scores at least 1 on A.1.2.a Not Met: Monitoring implementation of HR policy commitments across global ops and supply chain Not Met: Proportion of supply chain monitored Not Met: Describe how workers are involved in monitoring Score 2 Not Met: Score of 2 on A.1.2.a Not Met: Describes corrective action process Not Met: Disclose findings and number of corrective action
B.1.7	Engaging and terminating business relationships	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: HR affects selection of suppliers Not Met: HR affects on-going supplier relationships

Indicator Code	Indicator name	Score (out of 2)	Explanation
			Score 2 • Not Met: Describe positive incentives offered to respect human rights • Not Met: Working with suppliers to meet HR requirements
B.1.8	Approach to engagement with affected stakeholders	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Stakeholder process or systems to identify and engage with workers/communities in the last two years Not Met: Discloses stakeholders that HRs may be affected Not Met: Provides two examples of engagement with stakeholders Score 2 Not Met: Analysis of stakeholder views on company's HR issues Not Met: Describe how views influenced company's HR approach

B.2 Human Rights Due Diligence (15% of Total)

Indicator Code	Indicator name	Score (out of 2)	Explanation
B.2.1	Identifying human rights risks and impacts	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Identifying risks in own operations Not Met: Identifying risks through relevant business relationships Score 2 Not Met: Describe ongoing global risk identification in consultation with stakeholder/HR experts Not Met: Triggered by new circumstances Not Met: Describes risks identified
B.2.2	Assessing human rights risks and impacts	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Describe process for assessment of HR risks and discloses salient HR issues Not Met: How process applies to supply chain Not Met: Public disclosure of the results of HR assessment Score 2 Not Met: Meets all requirements under score 1 Not Met: How it involved affected stakeholders in the assessment
B.2.3	Integrating and acting on human rights risks and impact assessments	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Action Plans to mitigate risks Not Met: Description of how global system applies to supply chain Not Met: Example of actions decided on at least 1 salient HR issues Score 2 Not Met: Meets all requirements under score 1 Not Met: Involve stakeholders in decisions about actions
B.2.4	Tracking the effectiveness of actions to respond to human rights risks and impacts	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: System for tracking or monitor if actions taken are effective Not Met: Lessons learnt from checking system effectiveness Score 2 Not Met: Meets both requirements under score 1 Not Met: Involve stakeholders in evaluation of actions taken
B.2.5	Communicating on human rights impacts	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Provides two examples of comms with stakeholders Score 2 Not Met: Describe challenges to effective comms and how it is working to address them

C. Remedies and Grievance Mechanisms (20% of Total)

Indicator Code	Indicator name	Score (out of 2)	Explanation
C.1	Grievance channel(s)/mec hanism(s) to receive complaints or concerns from workers	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Channel accessible to all workers Score 2 Not Met: Channel is available in all appropriate languages and workers aware Not Met: Describe how workers in the supply chain have access to grievance mechanism Not Met: Expect Suppliers to convey expectation to their own suppliers

Indicator Code	Indicator name	Score (out of 2)	Explanation
C.2	Grievance channel(s)/mec hanism(s) to receive complaints or concerns from external individuals and communities	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Grievance mechanism for community Score 2 Not Met: Describes accessibility and local languages and stakeholder awareness Not Met: Communities access mechanism direct or through suppliers Not Met: Expect supplier to convey expectation to their own suppliers
C.3	Users are involved in the design and performance of the channel(s)/mec hanism(s)	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Engages users to create or assess system Not Met: Examples (at least two) of how they do this Score 2 Not Met: Engages with potential or actual users on the improvement of the mechanism Not Met: Provides user engagement example (at least two) on improvement
C.4	Procedures related to the mechanism(s)/c hannel(s) are equitable, publicly available and explained	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Response timescales and how complainants will be informed Not Met: Describe support (technical, financial,etc) available for equal access by complainants Score 2 Not Met: Describe types of outcome to complainant through use of mechanism Not Met: Escalation to senior/independent level
C.5	Prohibition of retaliation for raising complaints or concerns	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Public statement prohibiting retaliation Not Met: Practical measures to prevent retaliation Score 2 Not Met: Company indicate it will not retaliate against workers/stakeholders Not Met: Expects suppliers to prohibit retaliation against workers/stakeholders
C.6	Company involvement with state-based judicial and non-judicial grievance mechanisms	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Complainants not asked to waive rights Not Met: Company does not require confidentiality provisions Score 2 Not Met: Will work with state based non judicial mechanisms Not Met: Example of issue resolved (if applicable)
C.7	Remedying adverse impacts	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Describes how remedy has been provided Not Met: Says how it would provide remedy for victims if no adverse impact identified Score 2 Not Met: Changes to systems, processes and practices to stop similar impact Not Met: Describe approach to monitoring implementation of agreed remedy Not Met: Approach to learning from incident to prevent future impacts
C.8	Communication on the effectiveness of grievance mechanism(s) and incorporating lessons learned	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Number grievances filed, addressed or resolved and outcome achieved Not Met: How lessons from mechanism improve management system Score 2 Not Met: Evaluation of the channel/mechanism and changes made as result Not Met: Describes procedures to address delays of outcomes agreed with stakeholders

D. Performance: Company Human Rights Practices (25% of Total)

Indicator Code	Indicator name	Score (out of 2)	Explanation
D.1.1.b	Living wage (in the supply chain)	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Discloses timebound target for suppliers to pay living wage or include in code or contracts

Indicator Code	Indicator name	Score (out of 2)	Explanation
			Not Met: Improving living wage practices of suppliers
			Score 2
			Not Met: Assessment of number affected by payment below living wage Not Met: Provides analysis of trends demonstrating progress
D.1.2	Aligning		The individual elements of the assessment are met or not as follows:
5.1.2	purchasing		Score 1
	decisions with		Not Met: Avoids business model pressure on HRs (purchasing practices)
	human rights		Not Met: Practices adopted to pay suppliers in line with agreed timeframes
		0	Not Met: Review own operations to mitigate negative impact
			Score 2 • Not Met: Meets all requirements under score 1
			Not Met: Examples of how it assessed, addressed and change purchasing
			practices
D.1.3	Mapping and		The individual elements of the assessment are met or not as follows:
	disclosing the		Score 1
	supply chain		Not Met: Identifies direct and indirect suppliers back to manufacturing sites
		0	(factories or fields) Score 2
			Not Met: Discloses names and locations of significant parts of SP and why
			Not Met: Discloses which direct or indirect suppliers is involved in higher-risk
			activities
D.1.4.b	Prohibition of		The individual elements of the assessment are met or not as follows:
	child labour:		Score 1
	Age verification	0	Not Met: Child Labour rules in codes or contracts Not Met: How working with suppliers on child labour
	and corrective	0	Score 2
	actions (in the		Not Met: Assessement of number affected by child labour in supply chain
	supply chain)		Not Met: Analysis of trends in progress made
D.1.5.b	Prohibition of		The individual elements of the assessment are met or not as follows:
	forced labour:		Score 1
	Recruitment	0	Not Met: Debt and fees rules in codes or contracts Not Met: How working with suppliers on debt & fees
	fees and costs		Score 2
	(in the supply		Not Met: Assessment of the number affected by payment of recruitment fees
	chain)		Not Met: Analysis of trends in progress made
D.1.5.d	Prohibition of		The individual elements of the assessment are met or not as follows:
	forced labour:		Score 1
	Wage practices	0	Not Met: Suppliers to pay workers in full and on time in codes or contracts Not Met: How working with supply chain to pay workers regularly and on time
	(in the supply		Score 2
	chain)		Not Met: Assessment of the number affected by failure to pay directly
			Not Met: Provides analysis of trends demonstrating progress
D.1.5.f	Prohibition of		The individual elements of the assessment are met or not as follows:
	forced labour:		Score 1 • Not Met: Free movement rules in codes or contracts
	Restrictions on		Not Met: How working with suppliers on free movement
	workers (in the	0	Score 2
	supply chain)		Not Met: Assessment of the number affected by retaining docs or restricting
			movement
D.1.6.b	Freedom of		Not Met: Provides analysis of trends demonstrating progress The individual elements of the assessment are met or not as follows:
D.1.6.0	association and		Score 1
	collective		Not Met: FoA & CB rules in codes or contracts
	bargaining (in	0	Not Met: How working with suppliers on FoA and CB
	the supply		Score 2
	chain)		• Not Met: Assessment of the number affected by restrictions to FoA and CB in the
	,		SP • Not Met: Provides analysis of trends demonstrating progress
D.1.7.b	Health and		The individual elements of the assessment are met or not as follows:
	safety:		Score 1
	Fatalities, lost		Not Met: Sets out clear Health and Safety requirements
	days, injury,		Not Met: Injury Rate or Lost days or Near miss disclosures for last reporting
	occupational	0	period • Not Mot: Fatalities rate for lacting reporting period
	disease rates		Not Met: Fatalities rate for lasting reporting period Not Met: Occupation disease rate for last reporting period
	(in the supply		Score 2
	chain)		Not Met: How working with suppliers on H&S
			Not Met: Assessment of the number affected by H&S issues in the SP

Indicator Code	Indicator name	Score (out of 2)	Explanation
			Not Met: Provides analysis of trends demonstrating progress
D.1.8.b	Land rights: Land acquisition (in the supply chain)	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Rules on land & owners in codes or contracts Not Met: How working with suppliers on land issues Score 2 Not Met: Includes resettlement requirements that the supplier provides financial compensation Not Met: Assessment of the number affected by land rights issues in its SP Not Met: Provides analysis of trends demonstrating progress
D.1.9.b	Water and sanitation (in the supply chain)	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Rules on water stewardship in codes or contracts Not Met: How working with suppliers on water stewardship issues Score 2 Not Met: Assessment on the number affected by lack of access to water and sanitation Not Met: Provides analysis of trends demonstrating progress
D.1.10.b	Women's rights (in the supply chain)	0	The individual elements of the assessment are met or not as follows: Score 1 Not Met: Women's rights in codes or contracts Not Met: How working with suppliers on women's rights Score 2 Not Met: Assessment on the number affected by discrimination or unsafe working conditions Not Met: Provides analysis of trends demonstrating progress

E. Performance: Responses to Serious Allegations (20% of Total)

Indicator Code	Indicator name	Score (out of 2)	Explanation
E(1).0	Serious		No allegations meeting the CHRB severity threshold were found, and so the score
	allegation No 1		of 0.00 out of 80 points scored in themes A-D has been applied to produce a score
			of 0.00 out of 20 points for theme E.

Disclaimer

A score of zero for a particular indicator does not mean that bad practices are present. Rather it means that we have been unable to identify the required information in public documentation.

See the 2020 Key Findings report and the 2019 technical annex for more details of the research process.

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As WBA, we want to emphasise that the results will always be a proxy for good human rights management, and not an absolute measure of performance. This is because there are no fundamental units of measurement for human rights. Human rights assessments are therefore necessarily more subjective than objective. The Benchmark also captures only a snap shot in time. We therefore want to encourage companies, investors, civil society and governments to look at the broad performance bands that companies are ranked within rather than their precise score because, as with all measurements, there is a reasonably wide margin of error possible in interpretation. We also want to encourage a greater analytical focus on how scores improve over time rather than upon how a company compares to other companies in the same industry today. The spirit of the exercise is to promote continual improvement via an open assessment process and a common understanding of the importance of the UN Guiding Principles on Business and Human Rights.

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